

# Research Administration Forum XVI

Marta I. Torruella, Associate Director, Pre-Award  
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Sponsored Research and Program Development

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December 12, 2013

# Updates

Marta I. Torruella, CRA  
Associate Director, SR-PD



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# UPDATES

- SR-PD Updates
  - New Member - Joyce Ng
  - InfoEd Upgrade-version 13.804.04
  - Trying year - Special Thanks
- After the Shutdown
  - deadlines, peer reviews- back to normal
  - JIT requests arriving



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# UPDATES

- NIH Updates
  - Use of Form C
  - ASSIST for Multi Projects
  - Grant Reform guidance – burden reduction
- Grants due 12/25/13 – 01/03/14
  - Receipt at SR-PD by DECEMBER 20, 2013.
- TCN Events



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# Budget Justification

Kate Ross, CRA  
Manager, Outreach and Training



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# Budget Justification

- Review SR-PD Budget Justification Boilerplate
- All budget items must be
  - Allowable
  - Allocable
  - Reasonable
  - Necessary
  - Consistent



# Special Language

If the PD/PI has \$1 million or more in annual direct costs from the NIH:

The Rockefeller University enables its investigators to dedicate their time and efforts to research and on-site research training. While members are free to participate in teaching the graduate courses offered at the University, there is no teaching requirement and the time and effort commitment for teaching is minimal compared to other universities. A researcher at The Rockefeller University, therefore, is expected to and can direct and perform more research projects than the typical researcher elsewhere.



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# Personnel

Personnel Justification should include the following statement:

All full-time University appointments – faculty and staff – are for 12 months. Therefore, University efforts and salaries are calculated on a 12-month calendar year.

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# Equipment

- Definition: an article of non-expendable, tangible personal property having a useful life of more than two years and acquisition costs of more than \$5,000/unit.
- Itemize in justification, showing critical benefits to project
- Provide vendor's quotes to substantiate request
- Refer to [institutional procurement policies](#)



# Consultants

- Consultants work for a fee and cannot be an RU employee
- Justification should detail the individual consultant, his/her affiliations, the services to be performed, benefits to the project, and cost
- Must have letter from authorized rep of the consultant's organization substantiating and justifying the hourly rate and the # of hours of proposed consulting services



# Resource Centers

- Each Resource Center should be clearly identified
- Requested costs must be based on the center's posted rates or a written quote on letterhead
- Justification should clearly illustrate how costs were determined (ie, 20 screens @ \$100/screen = \$2,000)





# Significant Changes and Clarifications to the Grant Proposal Guide (GPG) effective February 24<sup>th</sup> 2014

Mukul Mathur  
Sr. Grants Management Specialist



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# Format of the Proposal

**Has been revised:** Upon entering the proposal preparation module in FastLane, the PI will be prompted to select whether or not the proposal is a collaborative proposal and the type of proposal being developed.



# Sections of the Proposal

- Includes a list of required sections for a full proposal submitted via FastLane.
- If the submission instructions do not require a section to be provided, then insert text or upload a document in that section of the proposal that states, “Not Applicable.”



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# Project Summary

Project Summary containing special characters be submitted as a PDF file in the Supplementary Documentation section. Must be formatted with separate headings for the overview, statement on intellectual merit, and statement on broader impacts.



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# Results from Prior NSF Support

In cases where the PI or co-PI has received more than one award they need to only report on the one award most closely related to the proposal.



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# Unfunded Collaborations

- Any substantial collaboration with individuals not included in the budget should be described in the “Facilities, Equipment and Other Resources” section of the proposal and a letter of commitment from each collaborator should be provided in the supplementary documentation section.



# Biographical Sketches

Guidelines have been updated to state that inclusion of information beyond that is specified may result in the proposal being returned without review.

**Products:** If only publications are included in this section of Biosketch, the heading “Publications” may be used.



# Budget

**Participant Support:** has been revised to emphasize that indirect costs are not allowed on participant support costs.

**Allowability of visa costs:** Short-term, travel visa costs are generally allowable expenses; should be clearly identified as directly connected to work performed on an NSF-related project.



# Submission of a collaborative proposal from multiple organizations

Has been supplemented to clarify required sections of the proposal for lead organizations versus non-lead organizations.



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# Research Performance Progress Report (RPPR)

Maura Gilmartin, CRA  
Sr. Grants Management Specialist



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# Research Performance Progress Report (RPPR)

**RPPR is now mandatory for all SNAP  
(e.g. R01) and Fellowship progress  
reports.**



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# Research Performance Progress Report (RPPR)

## Most common concerns:

- Participant data
- Other Support
- Project Performance Site Page
- Publications



# Research Performance Progress Report (RPPR)

## Participant data reporting:

- Maintains reporting requirement for only those who have devoted 1 Cal. Month or more
- Must round to nearest whole number
- Due to rounding, the PI (PI ONLY) may be reported as 0



## D. Participants

### D.1 What individuals have worked on the project?

Provide or update the following information for: (1) program director(s)/principal investigator(s) (PDs/Pis), and (2) each person who has worked at least one person month per year on the project during the reporting period, regardless of the source of compensation (a person month equals approximately 160 hours or 8.3% of annualized effort).

Provide the name and identify the role the person played in the project. Indicate the nearest whole person month (Calendar, Academic, Summer) that the individual worked on the project. Show the most senior role in which the person has worked on the project for any significant length of time. For example, if an undergraduate student graduates, enters graduate school, and continues to work on the project, show that person as a graduate student.

#### Instructions

- An individual's Commons user ID may be used to partially populate his or her information.
- A Commons ID is required for all individuals with a postdoctoral role.
- Individuals with a postdoctoral-like role should be identified as "Postdoctoral (scholar, fellow, or other postdoctoral position)."
- Do not include Other Significant Contributors who are not committing any specified measurable effort to this project.
- Do not report personnel for whom a PHS 2271 Appointment form has been submitted through xTRAIN.
- Required fields are marked with an \*.

#### eRA Commons User ID

\*First Name  Middle Name  Last Name  Senior Key Personnel?  Yes  No Last 4 digits of Social Security Number  DoB (MM/YYYY)

Degree(s)  Project Role  Supplement Support (SS)  Person Months  Calendar  Academic  Summer   
Other (Project Role)

\*Is the individual's primary affiliation with a foreign organization?  Yes  No

Check "no" if the individual's primary affiliation is with a foreign organization but the individual is working on this award solely while in the U.S.

If yes, provide the name of the organization and country

Organization Name  Country

#### List of Participants

Commons ID	SK	Name	SSN	DOB	Degree(s)	Role	Person Months			Foreign Affiliation		SS	Action
							Cal	Aca	Sum	Org	Country		
SUE	Y	SUE, AA SUE	8344	06/1962	BS,MA,PHD	PD/PI	0	0	0			Not Applicable	<a href="#">Edit</a>

### D.2 Personnel Updates

#### D.2.a Level of Effort

Will there be, in the next budget period, either (1) a reduction of 25% or more in the level of effort from what was approved by the agency for the PD/PI(s) or other senior/key personnel designated in the Notice of Award, or (2) a reduction in the level of effort below the minimum amount of effort required by the Notice of Award?

Yes  No

Reductions are cumulative, i.e., the 25% threshold may be reached by two or more successive reductions that total 25% or more. Once agency approval has been given for a significant change in the

# Research Performance Progress Report (RPPR)

## Due to changes in Participant data reporting:

- Email SR-PD GMSs personnel efforts
- Other Support – include ALL support & new format



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## CHANGES IN OTHER SUPPORT (D.2.c)

### ANDERSON, R.R.

#### ACTIVE

(THIS AWARD)

2 R01 HL 000000-14 (Anderson)	3/1/2000 – 2/28/2015	3.6 calendar
NIH/NHLBI	\$186,529	
Chloride and Sodium Transport in Airway Epithelial Cells		

The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

5 R01 HL 000000-04 (Baker)	4/1/2010 – 3/31/2014	1.2 calendar
NIH/NHLBI	\$122,717	
Ion Transport in Lungs		

The major goal of this project is to study chloride and sodium transport in normal and diseased lungs.

R000 (Anderson)	9/1/1998 – 8/31/2013	1.2 calendar
Cystic Fibrosis Foundation	\$43,123	
Gene Transfer of CFTR to the Airway Epithelium		

The major goals of this project are to identify and isolate airway epithelium progenitor cells and express human CFTR in airway epithelial cells.

(NEW)

R01 DK000000-01 (Zimmerman)	9/1/2012 – 8/31/2016	1.2 calendar
NIH/NIDDK	\$187,265	
Cystic Fibrosis Related Diabetes and Lung Function		

The major goals of this project are to determine how CFRD contributes to lung function decline.

OVERLAP No Overlap

#### INACTIVE

DCB 950000 (Anderson)	12/01/2008 – 11/30/2011	2.4 calendar
National Science Foundation	\$82,163	
Liposome Membrane Composition and Function		

The major goals of this project are to define biochemical properties of liposome membrane components and maximize liposome uptake into cells.

# Performance Site screen:

**G.8 Project Performance Sites**

If there are changes to the project performance site(s) displayed below, edit as appropriate. ?

\*Required field(s)

\*Organization Name

\*DUNS or DUNS+4

\*Address 1

Address 2

\*City

\*State

Province

Country

\*Country

\*Zip Code

\*Congressional District

(e.g. MD-08 for Maryland, 8th District)

Is this the primary Project Performance Site?  Yes  No

Project Performance Sites				
Organization Names	DUNS	Congressional District	Address	Action
Primary: OREGON HEALTH & SCIENCE UNIVERSITY	096997515	OR-001	OREGON HEALTH & SCIENCE UNIVERSITY 3181 SW Sam Jackson Pk Rd, PORTLAND OR, 972393098, UNITED STATES	<a href="#">Edit</a> <a href="#">Delete</a>
OREGON HEALTH & SCIENCE UNIVERSITY	096997515		OREGON HEALTH & SCIENCE UNIVERSITY 3181 SW Sam Jackson Pk Rd, PORTLAND OR, 972393098, UNITED STATES	<a href="#">Edit</a> <a href="#">Delete</a>
OREGON HEALTH & SCIENCE UNIVERSITY	096997515	OR-001	OREGON HEALTH & SCIENCE UNIVERSITY 3181 SW Sam Jackson Pk Rd, PORTLAND OR, 972393098, UNITED STATES	<a href="#">Edit</a> <a href="#">Delete</a>

**G.9 Foreign Component**

"Foreign component" is defined as significant scientific activity that was performed outside of the United States, either by the grantee or by a researcher employed by a foreign organization, whether or not grant funds were expended. The following grant-related activities are significant and must be reported:

- involvement of human subjects or research with live vertebrate animals;
- extensive foreign travel by grantee project staff to collect data, or conduct surveys or sampling activities; or
- any grantee activity that may have an impact on U.S. foreign policy.

Examples of other grant-related activities that *may* be significant are:

- collaborations with investigators at a foreign site anticipated to result in co-authorship;
- use of facilities or instrumentation at a foreign site; or
- receipt of financial support or resources from a foreign entity.

Foreign travel for consultation does not meet the definition of foreign component.

**No foreign component**

# Publications screen:

A Cover Page B Accomplishments **C Products** D Participants E Impact F Changes G Special Reporting Req H Budget

## C. Products ?

To see all of your publications from MyNCBI and to associate those with this RPPR, the answer to the *Products* question should be 'Yes'.

NIH Manuscript Submission System Status: Available

### C.1 Publications

Are there publications or manuscripts accepted for publication in a journal or other publication (e.g., book, one-time publication, monograph) during the reporting period resulting directly from this award?  Yes  No

If yes, select from the table below to affiliate publications with this progress report.  
If you need to login to My NCBI account please use this link: [My NCBI ?](#)

All publications associated with this project in My NCBI

No items found.

[Associate with this RPPR](#) [NIH Public Access Compliance](#) [Citation](#)

Nothing found to display.

Hide publications from My NCBI

Publications not associated with this project in My NCBI

9 items found, displaying all items.

Associate with this RPPR	NIH Public Access Compliance	Citation
<input type="checkbox"/>	Non-Compliant	Winkler CW, Hermes SM, Chavkin CI, Drake CT, Morrison SF, Aicher SA. Kappa opioid receptor (KOR) and GAD67 immunoreactivity are found in OFF and NEUTRAL cells in the rostral ventromedial medulla. J Neurophysiol. 2006 Dec; 96 (6) :3465-73. PubMed PMID:17005613.
<input type="checkbox"/>	Complete	Macey TA, Ingram SL, Bobeck EN, Hegarty DM, Aicher SA, Arttamangkul S, Morgan MM. Opioid receptor internalization contributes to dermorphin-mediated antinociception. Neuroscience. 2010 Jun 30; 168 (2) :543-50. PubMed PMID:20394808; PubMed Central PMCID: PMC3312465.
<input type="checkbox"/>	Complete	Hegarty DM, Tonsfeldt K, Hermes SM, Helfand H, Aicher SA. Differential localization of vesicular glutamate transporters and peptides in corneal afferents to trigeminal nucleus caudalis. J Comp Neurol. 2010 Sep 1; 518 (17) :3557-69. PubMed PMID:20593358; PubMed Central PMCID: PMC2933108.
<input type="checkbox"/>	Complete	Barsukova AG, Bourdette D, Forte M. Mitochondrial calcium and its regulation in neurodegeneration induced by oxidative stress. Eur J Neurosci. 2011 Aug; 34 (3) :437-47. PubMed PMID:21722208; PubMed Central PMCID: PMC3221651.
<input type="checkbox"/>	Complete	Aicher SA, Hermes SM, Whittier KL, Hegarty DM. Descending projections from the rostral ventromedial medulla (RVM) to trigeminal and spinal dorsal horns are morphologically and neurochemically distinct. J Chem Neuroanat. 2011 Nov 20; PubMed PMID:22119519; PubMed Central PMCID: PMC3319838.

Sort Table Above By  Then By

Ascending  Descending  Ascending  Descending

### C.2 Website(s) or other internet site(s)

List the URL for any Internet site(s) that disseminates the results of the research activities. A short description of each site should be provided. It is not necessary to include the publications already specified above.

For awards not designed to create or maintain one or more websites select "Nothing to Report". A description is only required for awards designed to create or maintain one or more websites. Limit the response to this reporting period.

Nothing to Report

or list URL(s) for Internet site(s) and provide description(s) below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages.)

Total remaining allowed limit is 8000 characters.

# Research Performance Progress Report (RPPR)

- If RPPR is submitted with a non-compliant publication
  - Automated email generated
  - Response requested at least two weeks prior to next budget period
  - Can respond via PRAM (Progress Report Additional Materials)
  - NIH will delay processing of an award if publications are not in compliance (for start dates July 1, 2013 or beyond)



# Research Performance Progress Report (RPPR)

- General overview of RPPR screenshots:  
[http://grants.nih.gov/grants/rppr/rppr\\_screen\\_shots.pdf](http://grants.nih.gov/grants/rppr/rppr_screen_shots.pdf)
- Resources available:  
<http://grants.nih.gov/grants/rppr/index.htm#resources>
- Other Support Format:  
[http://grants.nih.gov/grants/funding/2590/Non-competing\\_othersupport.pdf](http://grants.nih.gov/grants/funding/2590/Non-competing_othersupport.pdf)

